

## SOME RESULTS ON SEMI-STRATIFIABLE SPACES

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*Abstract.* We study relationships between separability with other properties in semi-stratifiable spaces. Especially, we prove the following statements:

- (1) If  $X$  is a semi-stratifiable space, then  $X$  is separable if and only if  $X$  is  $DC(\omega_1)$ ;
- (2) If  $X$  is a star countable extent semi-stratifiable space and has a dense metrizable subspace, then  $X$  is separable;
- (3) Let  $X$  be a  $\omega$ -monolithic star countable extent semi-stratifiable space. If  $t(X) = \omega$  and  $d(X) \leq \omega_1$ , then  $X$  is hereditarily separable.

Finally, we prove that for any  $T_1$ -space  $X$ ,  $|X| \leq L(X)^{\Delta(X)}$ , which gives a partial answer to a question of Basile, Bella, and Ridderbos (2011). As a corollary, we show that  $|X| \leq e(X)^\omega$  for any semi-stratifiable space  $X$ .

*Keywords:* semi-stratifiable space; separable space; dense subset; feebly compact space;  $\omega$ -monolithic space; property  $DC(\omega_1)$ ; star countable extent space; cardinal equality; countable chain condition; perfect space;  $G_\delta^*$ -diagonal

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## 1. INTRODUCTION

All topological spaces in this paper are assumed to be  $T_1$ -spaces unless stated otherwise. The notation of semi-stratifiable spaces was first introduced in [5] by Creede in 1970.

**Definition 1.1.** A space  $X$  is called semi-stratifiable (see [5]) if there is a function  $G$  which assigns to each  $n \in \omega$  and a closed set  $H \subset X$ , an open set  $G(n, H)$  containing  $H$  such that

- (1)  $H = \bigcap G(n, H)$ ;
- (2)  $H \subset \overset{n}{K} \Rightarrow G(n, H) \subset G(n, K)$ .

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It is well known that the class of semi-stratifiable spaces can be characterized by a  $g$ -function.

**Lemma 1.2** ([5]). *A topological space  $(X, \tau)$  is semi-stratifiable if there exists a function  $g: \omega \times X \rightarrow \tau$  such that:*

- (1)  $\{x\} = \bigcap_{n \in \omega} g(n, x)$  for any  $x \in X$ ;
- (2) if  $x \in g(n, x_n)$  for each  $n$ , then  $x_n \rightarrow x$ .

This class of spaces lies between the class of semi-metric spaces and the class of spaces in which closed sets are  $G_\delta$  (i.e. perfect spaces). It turns out that a  $T_1$ -space is semi-metric if and only if it is first countable and semi-stratifiable. A completely regular space is a Moore space if and only if it is a semi-stratifiable  $p$ -space.

In this paper, we study the relationships between separability with other properties in semi-stratifiable spaces. In Section 3, we prove the following statements:

- (1) If  $X$  is a semi-stratifiable space, then  $X$  is separable if and only if  $X$  is  $DC(\omega_1)$  (see Theorem 3.6);
- (2) If  $X$  is a star countable extent semi-stratifiable space and has a dense metrizable subspace, then  $X$  is separable (see Theorem 3.12);
- (3) Let  $X$  be a  $\omega$ -monolithic star countable extent semi-stratifiable space. If  $t(X) = \omega$  and  $d(X) \leq \omega_1$ , then  $X$  is hereditarily separable (see Theorem 3.17).

In Section 4, we prove that for any  $T_1$ -space  $X$ ,  $|X| \leq L(X)^{\Delta(X)}$  (see Theorem 4.2), which gives a partial answer to a question of [4]. As a corollary, we show that  $|X| \leq e(X)^\omega$  for any semi-stratifiable space  $X$  (see Corollary 4.5).

## 2. NOTATION AND TERMINOLOGY

The cardinality of a set  $A$  is denoted by  $|A|$ . Let  $\omega$  denote the first infinite cardinal and  $\omega_1$  the first uncountable cardinal. We also write  $2^\omega$  for the cardinality of the continuum. As usual, a cardinal is the initial ordinal and an ordinal is the set of smaller ordinals.

If  $X$  is a space and  $\mathcal{U}$  is a family of subsets of  $X$ , then the star of a subset  $A \subset X$  with respect to  $\mathcal{U}$  is the set

$$\text{St}(A, \mathcal{U}) = \bigcup \{U \in \mathcal{U} : U \cap A \neq \emptyset\}.$$

**Definition 2.1** ([14]). Let  $\mathcal{P}$  be a topological property. A space  $X$  is said to be *star  $\mathcal{P}$*  if for any open cover  $\mathcal{U}$  of  $X$  there is a subset  $A \subset X$  with property  $\mathcal{P}$  such that  $\text{St}(A, \mathcal{U}) = X$ . The set  $A$  will be called a *star kernel* of the cover  $\mathcal{U}$ .

Therefore, a space  $X$  is said to be *star countable extent* (SCE) (see [12]) if for any open cover  $\mathcal{U}$  of  $X$  there is a subspace  $A \subset X$  of countable extent such that  $\text{St}(A, \mathcal{U}) = X$ . We have the well-known implications:

$$\text{separable} \Rightarrow \text{star countable} \Rightarrow \text{star Lindel\"of} \Rightarrow \text{SCE}.$$

In general, none of the implications can be reversed (see [2], [12]).

**Definition 2.2** ([10]). We say that a space  $X$  has *property DC*( $\omega_1$ ) if it has a dense subspace every uncountable subset of which has a limit point in  $X$ .

**Definition 2.3.** The *density* of a space  $X$  is defined as the smallest cardinal number of the form  $|A|$ , where  $A$  is a dense subset of  $X$ ; this cardinal number is denoted by  $d(X)$ .

**Definition 2.4.** We say that  $X$  has *countable tightness* if for any  $x \in \bar{A}$  for any  $A$  of  $X$  there exists a countable subset  $A_0$  of  $A$  such that  $x \in \overline{A_0}$ ; it is denoted by  $t(X) = \omega$ .

**Definition 2.5** ([9]). The *extent* of a topological space  $X$ , denoted by  $e(X)$ , is the supremum of the cardinalities of closed discrete subsets of  $X$ .

**Definition 2.6.** The *Lindel\"of number* is defined in the following way:  $L(X) = \min\{\tau: \text{for any open cover } \gamma \text{ there exists a subcover } \gamma' \text{ such that } |\gamma'| \leq \tau\}$ .

**Definition 2.7** ([18]). We say that a space  $X$  has a  $G_\delta$ -*diagonal* if there is a countable family  $\{U_n: n \in \omega\}$  of open neighbourhoods of the diagonal  $\Delta_X$  in the square  $X \times X$  such that  $\Delta_X = \bigcap \{U_n: n \in \omega\}$ .

**Definition 2.8** ([3]). A space  $X$  has a *strong rank 1-diagonal* or  $G_\delta^*$ -*diagonal* if there exists a sequence  $\{\mathcal{U}_n: n \in \omega\}$  of open covers of  $X$  such that for each  $x \in X$ ,  $\{x\} = \bigcap \{\overline{\text{St}(x, \mathcal{U}_n)}: n \in \omega\}$ .

**Definition 2.9.** A topological space  $X$  is called *perfect* if every closed subset of  $X$  is a  $G_\delta$ -set.

**Definition 2.10.** A space  $X$  is *subparacompact* if every open cover of  $X$  has a  $\sigma$ -discrete closed refinement.

**Definition 2.11** ([15]). A space  $X$  has *countable chain condition* (abbreviated as CCC) if any disjoint family of open sets in  $X$  is countable, that is, the Souslin number (or cellularity) of  $X$  is at most  $\omega$ .

All notations and terminology not explained in the paper are given in [6].

### 3. THE SEPARABILITY OF SEMI-STRATIFIABLE SPACES

With the aid of the following lemma, we can deduce Proposition 3.2.

**Lemma 3.1** ([8]). *Every semi-stratifiable space is perfect, subparacompact and has a  $G_\delta$ -diagonal. Moreover, if the space is regular, then it has a  $G_\delta^*$ -diagonal.*

**Proposition 3.2.** *Every Tychonoff pseudocompact semi-stratifiable space is separable.*

*Proof.* Since every regular semi-stratifiable space has a  $G_\delta^*$ -diagonal (i.e. strong rank 1-diagonal) by Lemma 3.1, the conclusion is an easy corollary of [3], Theorem 3.12.  $\square$

**Theorem 3.3** ([5]). *In a semi-stratifiable space  $X$ , the following statements are equivalent:*

- (1)  $X$  is Lindelöf;
- (2)  $X$  is hereditarily separable;
- (3)  $X$  has countable extent.

**Lemma 3.4** ([5]). *A semi-stratifiable space is hereditarily semi-stratifiable.*

**Lemma 3.5.** *If  $X$  is a perfect space and  $D$  is an uncountable discrete subset of  $X$ , then there exists an uncountable subset  $E \subset D$  which is closed and discrete in  $X$ .*

*Proof.* Let  $\mathcal{U} = \{U(d) : d \in D\}$  be an uncountable family of open subsets of  $X$  such that  $U(d) \cap D = \{d\}$  for each  $d \in D$ . Since  $X$  is perfect, there are closed subsets  $F_n$  for  $n \in \omega$  such that

$$\bigcup_{d \in D} U_d = \bigcup_{n \in \omega} F_n.$$

It is evident that there is an uncountable subset  $E = D \cap F_{n_0} \subset X$  for some  $n_0 \in \omega$ . Now we show that  $E$  is closed and discrete in  $X$ . Suppose it is not, then there is an accumulation point  $\xi$  for  $E$ . Since  $F_{n_0}$  is closed, we have

$$\xi \in F_{n_0} \subset \bigcup_{n \in \omega} F_n = \bigcup_{d \in D} U_d.$$

Therefore there exists  $d' \in D$  such that  $\xi \in U(d')$ , and hence  $U(d')$  shall contain infinite points of  $E$ , which contradicts with the choice of  $\mathcal{U}$ . This completes the proof.  $\square$

**Theorem 3.6.** *If  $X$  is a semi-stratifiable space, then  $X$  is separable if and only if  $X$  is  $DC(\omega_1)$ .*

*Proof.* The necessity yields immediately from the definition of  $DC(\omega_1)$ . Now we prove the sufficiency. Assume that  $Y$  is the dense subspace of  $X$  which witnesses that  $X$  is  $DC(\omega_1)$ . We claim that  $Y$  is Lindelöf. Suppose it is not. Let  $\mathcal{U}$  be an open cover of  $Y$  and suppose that  $\mathcal{U}$  has no countable subcover. Since  $Y$  is semi-stratifiable (and hence subparacompact) by Lemma 3.4,  $\mathcal{U}$  has a closed refinement  $\mathcal{F} = \bigcup_{n=1}^{\infty} \mathcal{F}_n$ , where each  $\mathcal{F}_n$  is discrete in  $Y$ . Since  $\mathcal{U}$  has no countable subcover, there is an  $n$  such that  $\mathcal{F}_n$  is uncountable. Let  $D$  be a subset of  $Y$  consisting of exactly one point of each nonempty element of  $\mathcal{F}_n$ . It is evident that  $D$  is uncountable and discrete in  $Y$ . Since  $X$  is perfect (Lemma 3.1), there exists an uncountable subset  $E \subset D \subset Y$  which is closed and discrete in  $X$  by Lemma 3.5, which contradicts the hypothesis on  $Y$ . It follows from Theorem 3.3 that  $Y$  is hereditarily separable, so  $X$  is separable since  $Y$  is dense in  $X$ .  $\square$

**Corollary 3.7.** *Every  $DC(\omega_1)$  Moore space is separable.*

*Proof.* Immediately follows from the fact that a Moore space is always semi-stratifiable (see [8], page 484).  $\square$

**Corollary 3.8.** *If a semi-stratifiable space  $X$  has a dense subspace of countable extent, then  $X$  is separable.*

*Proof.* Let  $Y$  be a dense subspace of  $X$  of countable extent, then every uncountable subset of  $Y$  has an accumulation point in  $Y$ . It remains to apply Theorem 3.6. (Note that Corollary 3.8 also follows directly from Theorem 3.3 and Lemma 3.4.)  $\square$

**Corollary 3.9.** *Each semi-stratifiable space with a dense Lindelöf subspace is separable.*

**Corollary 3.10.** *Each semi-stratifiable space with a dense  $\sigma$ -compact subspace is separable.*

**Lemma 3.11** ([12]). *Let  $X$  be a semi-stratifiable space. The following statements are equivalent:*

- (1)  $X$  is star countable;
- (2)  $X$  is star Lindelöf;
- (3)  $X$  is SCE.

**Theorem 3.12.** *Let  $X$  be a SCE semi-stratifiable space. If  $X$  has a dense metrizable subspace, then  $X$  is separable.*

*Proof.* We claim that  $X$  is CCC. Suppose it is not. Let  $\mathcal{W} = \{U_\alpha : \alpha < \omega_1\}$  be an uncountable pairwise disjoint family of nonempty open sets of  $X$ . For each  $\alpha < \omega_1$ , pick a point  $x_\alpha \in U_\alpha$  and let  $D = \{x_\alpha : \alpha < \omega_1\}$ . It follows from Lemma 3.5 that there exists an uncountable subset  $E \subset D$  which is closed and discrete in  $X$ , since  $X$  is perfect (see Lemma 3.1). Let  $\mathcal{U} = \{U_\alpha : x_\alpha \in E\} \cup \{X \setminus E\}$ . Clearly,  $\mathcal{U}$  is an open cover for which there is no countable subset  $A$  of  $X$  such that  $\text{St}(A, \mathcal{U}) = X$ . This shows that  $X$  is not star countable, and therefore  $X$  is not SCE (see Lemma 3.11). A contradiction. Let  $Y$  be the dense metrizable subspace of  $X$ . Since  $X$  is CCC,  $Y$  is also CCC. Therefore  $Y$  and  $X$  are separable.  $\square$

**Corollary 3.13.** *If  $X$  is a SCE semi-stratifiable space and has a dense paracompact subspace, then  $X$  is separable.*

*Proof.* Let  $Y$  be a dense paracompact subspace of  $X$ . Using the proof of Theorem 3.12, it can be shown that  $Y$  is CCC. Since every CCC paracompact space is Lindelöf,  $X$  has a dense Lindelöf subspace  $Y$ . Therefore, by Corollary 3.9,  $X$  is separable.  $\square$

**Corollary 3.14.** *If  $X$  is a SCE semi-stratifiable space and has a dense subspace of isolated points, then  $X$  is separable.*

*Proof.* Note that every discrete space is metrizable.  $\square$

**Corollary 3.15.** *If  $X$  is a SCE semi-stratifiable space and has a dense GO-subspace, then  $X$  is separable.*

*Proof.* Note that the property of being semi-stratifiable is equivalent to being metrizable for any GO-space.  $\square$

**Corollary 3.16.** *If  $X$  is a Čech-complete, SCE semi-stratifiable space, then  $X$  is separable.*

*Proof.* Since  $X$  is Čech-complete,  $X$  contains a dense paracompact Čech-complete subspace  $Y$  (see [13]). Hence,  $Y$  is metrizable (see [6]). Therefore, by Theorem 3.12,  $X$  and  $Y$  are separable. (Since  $Y$  is paracompact, we also can get to the conclusion by Corollary 3.13.)  $\square$

For any infinite cardinal  $\kappa$ , a space is called  $\kappa$ -monolithic if  $nw(\bar{A}) \leq \kappa$  for any set  $A \subset X$  with  $|A| \leq \kappa$ .

**Theorem 3.17.** *Let  $X$  be a  $\omega$ -monolithic, SCE and semi-stratifiable space. Then  $X$  is hereditarily separable if  $X$  satisfies one of the following conditions:*

- (1)  $X$  is first countable;
- (2)  $|X| \leq \omega_1$ ;
- (3)  $t(X) = \omega$  and  $d(X) \leq \omega_1$ .

*Proof.* (1) It was established in [17] that the extent of a  $\omega$ -monolithic star countable  $W$ -space (see [17], Definition 1.8) is countable, so we have  $e(X) = \omega$  since every first countable space is a  $W$ -space. Hence, by Theorem 3.3,  $X$  is hereditarily separable.

(2) It follows from Proposition 1.16 in [1] that if  $X$  is a star countable  $\omega$ -monolithic space with  $|X| = \omega_1$ , then  $e(X) \leq \omega$ , so  $X$  has countable extent. Hence, by Theorem 3.3,  $X$  is hereditarily separable.

(3) Since  $d(X) \leq \omega_1$ , there exists a dense subset  $A$  of  $X$  with  $|A| \leq \omega_1$ . If  $|A| < \omega_1$ , it is obvious that  $X$  is separable. We assume that  $|A| = \omega_1$ . Enumerate  $A$  as  $\{x_\alpha : \alpha < \omega_1\}$  and let  $F_\alpha = \overline{\{x_\beta \in A : \beta < \alpha\}}$  for each  $\alpha < \omega_1$ . Then we have an  $\omega_1$ -sequence  $\mathcal{F} = \{F_\alpha : \alpha < \omega_1\}$  of increasing closed separable subsets of  $X$ .

Suppose that there exists a closed and discrete set  $D \subset X$  with  $|D| = \omega_1$ . By  $\omega$ -monolithcity of  $X$ , for any subset  $F_\alpha \subset X$  we have the inequality  $|F_\alpha \cap D| \leq \omega < \omega_1$ , so we can construct by induction a set  $D' = \{d_\alpha : \alpha < \omega_1\} \subset D$  and an open expansion  $\mathcal{U} = \{U_\alpha : \alpha < \omega_1\}$  of  $D'$  such that  $\alpha \neq \beta$  implies  $d_\alpha \neq d_\beta$  while  $U_\alpha \cap D' = \{d_\alpha\}$  and  $U_\alpha \cap F_\alpha = \emptyset$  for every  $\alpha < \omega_1$ .

Now we check that  $\mathcal{U}$  is point-countable. For any point  $x \in X$ ,  $x \in \overline{A}$ . Since  $t(X) = \omega$ , there exists a countable subset  $A_0$  of  $A$  such that  $x \in \overline{A_0}$ , and hence there exists some  $F_\alpha$  such that  $x \in A_0 \subset F_\alpha$ . By the construction of  $\mathcal{F}$  and  $\mathcal{U}$ , it is not difficult to see that  $x \in F_\beta$  and  $F_\beta \cap U_\beta = \emptyset$  for any  $\beta > \alpha$ , which implies  $x \notin U_\beta$  for any  $\beta > \alpha$ . This shows that  $\mathcal{U}$  is point-countable.

Let  $\mathcal{W} = \{U_\alpha : \alpha < \omega_1\} \cup \{X \setminus D'\}$ . Clearly,  $\mathcal{W}$  is an open cover of  $X$ . Since  $X$  is star countable (see Lemma 3.11), there is a countable subset  $C$  of  $X$  such that  $\text{St}(C, \mathcal{W}) = X$ . It is evident that  $|\{U_\alpha \in \mathcal{U} : U_\alpha \cap C \neq \emptyset\}| \leq \omega$ , since  $\mathcal{U}$  is point-countable. It follows that there exists  $U_\beta \in \mathcal{U}$  such that  $U_\beta \cap C = \emptyset$  and hence there is  $d_\beta \in D'$  such that  $d_\beta \notin \text{St}(C, \mathcal{W}) = X$ . A contradiction.

This proves that  $X$  has countable extent. Hence, by Theorem 3.3,  $X$  is hereditarily separable.  $\square$

#### 4. CARDINAL EQUALITIES

Before giving the main results, let us recall some definitions from [4]. We say that a space  $X$  has a  $G_\kappa$ -diagonal if there is a family  $\{G_\alpha: \alpha < \kappa\}$  of open sets in  $X \times X$  such that  $\Delta_X = \bigcap_{\alpha < \kappa} G_\alpha$ , where  $\Delta_X = \{(x, x): x \in X\}$ . The diagonal degree of  $X$ , denoted by  $\Delta(X)$ , is the smallest infinite cardinal  $\kappa$  such that  $X$  has a  $G_\kappa$ -diagonal. Clearly,  $\Delta(X) = \omega$  if and only if  $X$  has a  $G_\delta$ -diagonal.

The following question was posted in [4] by Basile, Bella, and Ridderbos.

**Question 4.1.** Does the inequality  $|X| \leq e(X)^{\Delta(X)}$  hold for any  $T_1$ -space  $X$ ? We will give a partial answer to this question by proving the following result.

**Theorem 4.2.** *For any  $T_1$ -space  $X$ ,  $|X| \leq L(X)^{\Delta(X)}$ .*

**Proof.** Since  $X$  is  $T_1$ ,  $\Delta_X$  can be written as the intersection of some family of open sets of  $X \times X$ , so  $\Delta(X)$  is well defined. Suppose that  $\Delta(X) = \kappa$  and  $L(X) = \tau$ . Then  $X$  has a  $G_\kappa$ -diagonal, i.e.  $\Delta_X = \bigcap \{G_\alpha: \alpha < \kappa\}$ , where each  $G_\alpha$  is open in  $X \times X$ . So for each  $\alpha < \kappa$  and  $x \in X$  there exists an open subset  $B_\alpha(x)$  of  $X$  containing  $x$ , with  $B_\alpha(x) \times B_\alpha(x) \subset G_\alpha$ . For each  $\alpha < \kappa$  let  $\mathcal{V}_\alpha$  be a subcover of  $\{B_\alpha(x): x \in X\}$  such that  $\mathcal{V}_\alpha \leq \tau$  and  $X = \bigcup \{U: U \in \mathcal{V}_\alpha\}$ .

Let  $x \in X$ . For each  $\alpha < \kappa$  we fix  $U_{x,\alpha} \in \mathcal{V}_\alpha$  such that  $x \in U_{x,\alpha}$ . Note that  $U_{x,\alpha}$  may not be  $B_\alpha(x)$ . Now, let  $y \in X \setminus \{x\}$ . Then there is  $\alpha < \kappa$  such that  $(x, y) \notin G_\alpha$ . Therefore  $y \notin U_{x,\alpha}$ ; otherwise  $(x, y) \in U_{x,\alpha} \times U_{x,\alpha} \subset G_\alpha$ , a contradiction. This shows that  $\{x\} = \bigcap_{\alpha < \kappa} U_{x,\alpha}$ .

Since each  $U_{x,\alpha}$  could be chosen out of  $\tau$  many sets, there are  $\tau^\kappa$  such possible intersections. Therefore we conclude that  $|X| \leq \tau^\kappa$ .  $\square$

The referee reminded us that Theorem 4.2 should be compared to Theorem 4.18 of Gotchev (see [7]): If  $X$  is a Urysohn space, then  $|X| \leq aL(X)^{\bar{\Delta}(X)}$ , where  $aL(X)$  is the almost Lindelöf number and  $\bar{\Delta}(X)$  is the regular diagonal degree of a Urysohn space  $X$ , i.e. the smallest infinite cardinal  $\kappa$  such that  $X$  has a regular  $G_\kappa$ -diagonal, i.e. there is a family  $\{G_\alpha: \alpha < \kappa\}$  of open sets in  $X^2$  such that  $\Delta_X = \bigcap_{\alpha < \kappa} \bar{G}_\alpha$ . The referee also pointed out that by applying the method of proof in Theorem 4.2, we can also prove Gotchev's result.

For the reader's convenience, we give its new proof: Suppose  $\bar{\Delta}(X) = \kappa$  and  $aL(X) = \tau$ . Then  $X$  has a regular  $G_\kappa$ -diagonal, i.e.  $\Delta_X = \bigcap \{\bar{G}_\alpha: \alpha < \kappa\}$ , where each  $G_\alpha$  is open in  $X^2$ . So for each  $\alpha < \kappa$  and  $x \in X$  there exists an open subset  $B_\alpha(x)$  of  $X$  containing  $x$ , with  $B_\alpha(x) \times B_\alpha(x) \subset G_\alpha$ . For each  $\alpha < \kappa$  let  $\mathcal{V}_\alpha$  be a subcover of  $\{B_\alpha(x): x \in X\}$  such that  $\mathcal{V}_\alpha \leq \tau$  and  $X = \bigcup \{\bar{U}: U \in \mathcal{V}_\alpha\}$ . Let  $x \in X$ . For each  $\alpha < \kappa$  we fix  $U_{x,\alpha} \in \mathcal{V}_\alpha$  such that  $x \in \bar{U}_{x,\alpha}$ . Now let  $y \in X \setminus \{x\}$ .



Then there is  $\alpha < \kappa$  such that  $(x, y) \notin \overline{G}_\alpha$ . Therefore  $y \notin \overline{U}_{x, \alpha}$ ; otherwise  $(x, y) \in \overline{U}_{x, \alpha} \times \overline{U}_{x, \alpha} \subset \overline{G}_\alpha$ , a contradiction. This shows that  $\{x\} = \bigcap_{\alpha < \kappa} \overline{U}_{x, \alpha}$ . Since each  $U_{x, \alpha}$  could be chosen out of  $\tau$  many sets, there are  $\tau^\kappa$  such possible intersections. Therefore we conclude that  $|X| \leq \tau^\kappa$ . The proof is complete.  $\square$

**Corollary 4.3.** *If  $X$  is a space with a  $G_\delta$ -diagonal and  $L(X) \leq 2^\omega$ , then  $|X| \leq 2^\omega$ .*

Since  $e(X) = L(X)$  for any  $D$ -space  $X$ , we have the following corollary by Theorem 4.2.

**Corollary 4.4.** *If  $X$  is a  $D$ -space, then  $|X| \leq e(X)^{\Delta(X)}$ .*

Since every semi-stratifiable space is a  $D$ -space and has a  $G_\delta$ -diagonal, we have the following corollary by Theorem 4.2 and Corollary 4.4.

**Corollary 4.5.** *If  $X$  is a semi-stratifiable space, then  $|X| \leq e(X)^\omega$ .*

**Proposition 4.6.** *If  $X$  is a regular semi-stratifiable space, then  $|X| \leq 2^{d(X)}$ .*

*Proof.* Since a regular and semi-stratifiable space has a strong rank 1-diagonal by Lemma 3.1, it follows that  $s\Delta(X) = \omega$  (see [4], page 2). It has been established in [4], Proposition 4.1, that  $|X| \leq 2^{d(X)s\Delta(X)}$  for any Hausdorff space  $X$ , so we have  $|X| \leq 2^{d(X)\cdot\omega} = 2^{d(X)}$ .  $\square$

**Corollary 4.7.** *If  $X$  is a regular separable semi-stratifiable space, then  $|X| \leq 2^\omega$ .*

Note that the regularity is necessary in Corollary 4.7, which can be seen in the following example.

**Example 4.8** ([11], page 64). Let  $\kappa\omega$  denote the Katětov's extension of  $\omega$  with the discrete topology. Recall that  $\kappa\omega = \omega \cup T$ , where  $T$  is a set of cardinality  $2^{2^\omega}$  that indexes the collection of all free ultrafilters on  $\omega$ . For  $t \in T$  let  $\mathcal{U}_t$  be the ultrafilter indexed by  $t$ ; a local base for  $t$  is the collection  $\{\{t\} \cup U : U \in \mathcal{U}_t\}$ . The space  $\kappa\omega$  has the following properties:

- (1)  $\kappa\omega$  is Hausdorff and non-regular;
- (2)  $\kappa\omega$  is separable;
- (3)  $\kappa\omega$  is semi-stratifiable;
- (4)  $\kappa\omega = 2^{2^\omega}$ .

**Proof.** Points (1), (2) and (4) are obvious. It suffices to prove that  $\kappa\omega$  is semi-stratifiable. To see it, define a function  $g: \omega \times \kappa\omega \rightarrow \tau$  such that

$$g(n, x) = \begin{cases} \{x\}, & x \in \omega; \\ \{x\} \cup (\omega \setminus n), & x \in T. \end{cases}$$

Clearly,  $\{x\} = \bigcap_{n \in \omega} g(n, x)$  holds for any  $x \in \kappa\omega$ . Now suppose that  $x \in g(n, x_n)$  for every  $n \in \omega$ . It is not difficult to see that there exists  $n_0 \in \omega$  such that  $x = x_n$  for any  $n \geq n_0$  by the definition of  $g$ . Hence, we have  $x_n \rightarrow x$ . Therefore, by Lemma 1.2, the space  $\kappa\omega$  is semi-stratifiable. This completes the proof.  $\square$

We say that a space  $X$  satisfies the discrete countable chain condition (DCCC for short) if every discrete family of nonempty open subsets of  $X$  is countable.

**Example 4.9** ([16], Proposition 3.10). For any cardinal  $\kappa$  there exists a regular DCCC and semi-stratifiable space whose cardinality is greater than  $\kappa$ .

**Proposition 4.10.** *Let  $X$  be a semi-stratifiable space and let  $g$  be the function which witnesses that  $X$  is semi-stratifiable. If  $X = \bigcup\{g(n, x): x \in Y\}$  for each  $n \in \omega$ , then  $|X| \leq |Y|^\omega$ .*

**Proof.** To see it, fix any  $x \in X$ . For each  $n \in \omega$  there exists  $x_n \in Y$  such that  $x \in g(n, x_n)$  since  $X = \bigcup\{g(n, x): x \in Y\}$ . It follows from Lemma 1.2 that  $x$  is the limit point of the sequence  $\{x_n\} \subset Y$ . Therefore we have  $|X| \leq |Y|^\omega$ .  $\square$

We finish this section with the following questions.

**Question 4.11.** Is the cardinality of a regular CCC semi-stratifiable space at most  $2^\omega$ ?

**Question 4.12.** Is the cardinality of a regular SCE and semi-stratifiable space at most  $2^\omega$ ?

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